

**AGREED MINUTES OF NEGOTIATIONS**  
**BETWEEN**  
**THE REPUBLIC OF INDIA,**  
**THE STATE OF UTTARAKHAND**  
**AND**  
**THE INTERNATIONAL DEVELOPMENT ASSOCIATION**  
**REGARDING**  
**THE**  
**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT II PROJECT**  
**(GRAMYA II)**

**January 8, 2014**

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1. Negotiations for the proposed Credit of SDR 78.8 million (equivalent to USD 121.2 million) for the Uttarakhand Decentralized Watershed Development II Project (Gramya II) were held in New Delhi on January 8, 2014 between the representatives of the Republic of India and the State of Uttarakhand (hereby called the "the Indian Delegation") and the International Development Association (hereby called the "IDA Team"). The list of participants is included as Attachment I of these Minutes.

2. The agreements reached during the negotiations are reflected in the following documents:

- a) Financing Agreement, dated January 8, 2014, between the Republic of India and the International Development Association; and
- b) Project Agreement, dated January 8, 2014, between the State of Uttarakhand and the International Development Association.
- c) Disbursement Letter

3. **Credit Terms:** The IDA Team informed the Indian Delegation that the Credit amount of SDR 78.8 million is based on the exchange rate of US\$1.00 to SDR0.64935 prevailing on December 31, 2013, which was the last day of the month preceding the month of Negotiations (rounded up to the next SDR 100,000). The IDA credit has a 25-year maturity, a five-year grace period, an interest rate of 1.25 percent, a service charge of 0.75 percent and a commitment charge on unwithdrawn balances of IDA credits of zero percent for IDA's fiscal year 2014. The commitment charge for subsequent fiscal years, commencing July 1 of each calendar year, will be determined annually by IDA's Executive Directors, and IDA will inform the Republic of India through the Department of Economic Affairs (DEA) accordingly.

4. The Indian Delegation chose March 15 and September 15 as payment dates. The amortization schedule for the proposed Credit is included as Attachment 2 of these Minutes. The amortization schedule is based on an expected Board Date of March 27, 2014. Should there be any change in this date, the amortization schedule would be appropriately adjusted.
5. Additionally, in the event that section 3.03(b) of the General Conditions were triggered, future adjustments to the amortization schedule would be conveyed to the Recipient and the Project Implementing Entity.
6. **Credit Closing Date:** The Closing Date for the proposed Credit was discussed and agreed as September 30, 2021.
7. **Name of the Project:** The name of the project included in the Financing Agreement and Project Agreement is "Uttarakhand Decentralized Watershed Development II Project". At the request of the Indian Delegation, the name in Hindi was added to read: "Gramya II".
8. **Retroactive Financing:** It was agreed to provide retroactive financing for up to SDR 7.88 million equivalent of the Credit amount for eligible expenditures as of June 1, 2013, but in all cases up to a maximum of 12 months prior to Credit Signing.
9. **Disbursement Letter:** The draft Disbursement Letter was discussed and agreed with the Indian Delegation and is attached as Attachment 3 of these Minutes.
10. **Financial Management Report:** The Interim Unaudited Financial Report (IUF) format was reviewed between the IDA Team and the Indian delegation during negotiations. The IUF format, adapted to the Project and IDA's financial reporting requirements, was agreed to and will be used during project implementation. The model IUF is included as Attachment 4 of these Minutes.
11. **Auditing Arrangements:** The IDA Team confirmed that the annual project financial statements would be audited by the Comptroller and Auditor General of India through the office of the Accountant General in Uttarakhand as per the standard terms of reference already agreed for audit of IDA-financed projects.
12. **Procurement:** The Indian Delegation and the IDA Team reviewed without further comment the Recipient's Project Procurement Plan, dated November 16, 2013 and satisfactory to the Association.
13. **Operational Manual:** The Indian Delegation and the IDA Team reviewed with further comment the Project Operational Manual, dated October 10, 2013 and satisfactory to the Association.
14. **Project Appraisal Document / Disclosure of Project Appraisal Document:** The draft Project Appraisal Document for the proposed Project was reviewed for consistency with the final versions of the Financing Agreement, and Project Agreement and the Disbursement Letter.

15. **Access to Information Policy:** Pursuant to the Bank Policy on Access to Information, the Bank will disclose the Project Appraisal Document, the related legal agreements and other information related to the legal agreements, including any supplemental letters. The Indian Delegation confirmed that the Association may publicly release said documentation only after approval of the financing by the Board.

16. **Final Agreement:** The Indian Delegation and the IDA Team confirmed their final agreement with the draft Financing and Project Agreements, dated January 8, 2014, as negotiated.

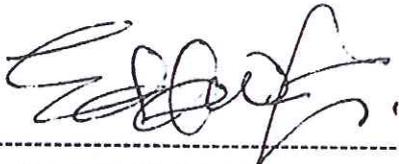
17. **Board Presentation:** The IDA Team indicated that it has requested the date of March 27, 2014 for presentation of the proposed Credit to the Bank's Board of Directors, subject to availability in the Board's agenda.



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**Ms. Sheyphali B. Sharan**  
Director, Department of Economic Affairs  
Ministry of Finance, Government of India



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**Mr. M.C. Joshi**  
Secretary, Watershed Management Department  
Government of Uttarakhand



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**Mr. Edward W. Bresnyan, Jr.**  
Senior Rural Development Specialist &  
Task Team Leader, The World Bank

**Uttarakhand Decentralized Watershed Development II Project (Gramya II)  
Negotiations: January 8, 2014**

**List of Participants**

**THE INDIAN DELEGATION**

**Department of Economic Affairs, Ministry of Finance, Government of India**

Mrs. Sheyphali B. Sharan, Director  
Mrs. Sigy Thomas Vidhyan, Deputy Secretary  
Mr. S.K. Samantaray, Under Secretary  
Mr. Anand Singh, Sr. Accounts Officer, Office of Controller Audit, Aids & Accounts

**Government of Uttarakhand**

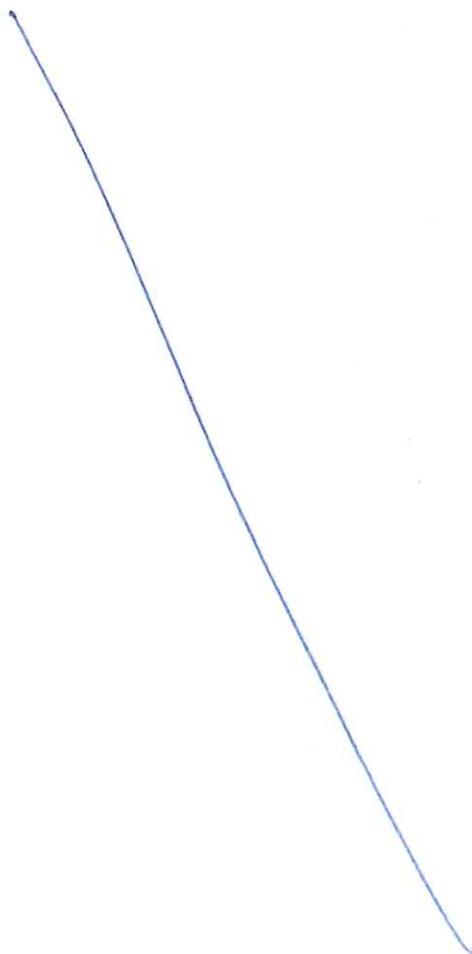
Mr. M.C. Joshi, Secretary, Watershed Management Department  
Mr. Omkar Singh, Deputy Secretary, Watershed Management Department  
Mr. D.J.K. Sharma, Project Director (Gramya II), Watershed Management Directorate  
Mr. Gauri Shankar, Regional Director, Watershed Management Directorate (Kumaon District)

**WORLD BANK DELEGATION**

Mr. Edward W. Bresnyan, Jr., Sr. Rural Development Specialist & Task Team Leader  
Mr. Ranjan Samantaray, Sr. Natural Resources Specialist & Co Task Team Leader  
Mr. Juan Carlos Alvarez, Sr. Legal Counsel  
Mr. Junxue Chu, Sr. Finance Officer  
Mr. S. Krishnamurthy, Sr. Financial Management Specialist  
Mr. Kalesh Kumar, Sr. Procurement Specialist  
Ms. Sharlene Chichgar, Environmental Specialist  
Ms. Jacqueline Julian, Operations Analyst  
Ms. Leena Malhotra, Program Assistant

*m* *Shan* *EWB*

**Attachment 2**  
Amortization Schedule





## Amortization Schedule

Project P131235-IN: Uttarakhand Decen Watershed Dev II Region SOUTH-ASIA Country India  
 TTL Edward William Bresnyan Lending Instrument SIL

Loan IDA 53690-001 Financial Product IDA Credit - Regular Status Draft  
 Amt in CoC XDR 78,800,000.00 Loan Description Uttarakhand Decen Watershed Dev II

### Amortization Schedule

Borr Ctry IN-India Income Category 3 Avg Repay Maturity (Years) 0.00

### Amortization Schedule Parameters

Maturity Profile STANDARD Maturity Type IDAS\_25  
 Repayment Term Repay Freq ( in months ) 006  
 Grace Periods ( in months ) 060 Final Maturity ( in months ) 300  
 First Maturity Dt 15Sep2019 Last Maturity Dt 15Mar2039  
 Est Last Dish Dt Disb Grouping ( in months ) 000  
 Payment Day / Month 15:03 Annuity Rate ( % ) 0.00

Version Number: 001

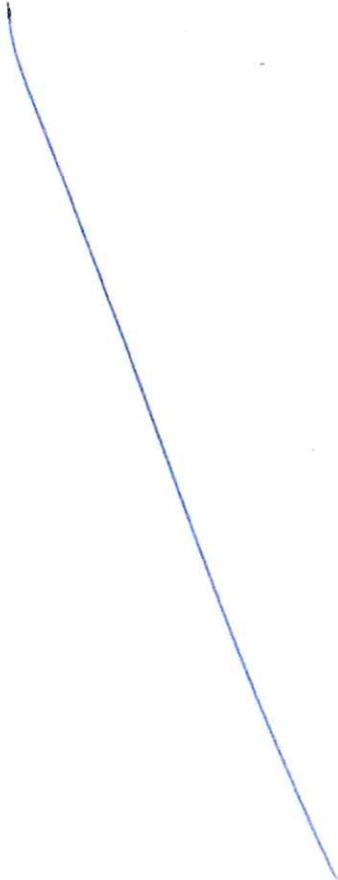
### Repayment Schedule

Repay No	Repay Dt	Repay Amt (XDR)	Repay Amt (USD)	Repay Pct
001	15Sep2019	1,300,200.00	1,999,800.00	1.65000
002	15Mar2020	1,300,200.00	1,999,800.00	1.65000
003	15Sep2020	1,300,200.00	1,999,800.00	1.65000
004	15Mar2021	1,300,200.00	1,999,800.00	1.65000
005	15Sep2021	1,300,200.00	1,999,800.00	1.65000
006	15Mar2022	1,300,200.00	1,999,800.00	1.65000
007	15Sep2022	1,300,200.00	1,999,800.00	1.65000
008	15Mar2023	1,300,200.00	1,999,800.00	1.65000
009	15Sep2023	1,300,200.00	1,999,800.00	1.65000
010	15Mar2024	1,300,200.00	1,999,800.00	1.65000
011	15Sep2024	1,300,200.00	1,999,800.00	1.65000
012	15Mar2025	1,300,200.00	1,999,800.00	1.65000
013	15Sep2025	1,300,200.00	1,999,800.00	1.65000
014	15Mar2026	1,300,200.00	1,999,800.00	1.65000
015	15Sep2026	1,300,200.00	1,999,800.00	1.65000
016	15Mar2027	1,300,200.00	1,999,800.00	1.65000
017	15Sep2027	1,300,200.00	1,999,800.00	1.65000
018	15Mar2028	1,300,200.00	1,999,800.00	1.65000
019	15Sep2028	1,300,200.00	1,999,800.00	1.65000
020	15Mar2029	1,300,200.00	1,999,800.00	1.65000
021	15Sep2029	2,639,800.00	4,060,200.00	3.35000
022	15Mar2030	2,639,800.00	4,060,200.00	3.35000
023	15Sep2030	2,639,800.00	4,060,200.00	3.35000
024	15Mar2031	2,639,800.00	4,060,200.00	3.35000
025	15Sep2031	2,639,800.00	4,060,200.00	3.35000
026	15Mar2032	2,639,800.00	4,060,200.00	3.35000
027	15Sep2032	2,639,800.00	4,060,200.00	3.35000
028	15Mar2033	2,639,800.00	4,060,200.00	3.35000
029	15Sep2033	2,639,800.00	4,060,200.00	3.35000
030	15Mar2034	2,639,800.00	4,060,200.00	3.35000
031	15Sep2034	2,639,800.00	4,060,200.00	3.35000
032	15Mar2035	2,639,800.00	4,060,200.00	3.35000
033	15Sep2035	2,639,800.00	4,060,200.00	3.35000
034	15Mar2036	2,639,800.00	4,060,200.00	3.35000
035	15Sep2036	2,639,800.00	4,060,200.00	3.35000
036	15Mar2037	2,639,800.00	4,060,200.00	3.35000
037	15Sep2037	2,639,800.00	4,060,200.00	3.35000

Repaymt Schedule

Repay No	Repay Dt	Repay Amt (XDR)	Repay Amt (USD)	Repay Per
038	15Mar2038	2,639,800.00	4,060,200.00	3.35000
039	15Sep2038	2,639,800.00	4,060,200.00	3.35000
040	15Mar2039	2,639,800.00	4,060,200.00	3.35000
<b>Total</b>		<b>78,800,000.00</b>	<b>121,200,000.00</b>	<b>100.00000</b>

**Attachment 3**  
Disbursement Letter



**The World Bank**

INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT  
INTERNATIONAL DEVELOPMENT ASSOCIATION

1818 H Street N.W.  
Washington, D.C. 20433  
U.S.A.

(202) 473-1000  
Cable Address: INTBAFRAD  
Cable Address: INDEVAS

\_\_\_\_\_ 2013

Mr S G Dastidar  
Controller of Aid Accounts and Audit  
Department of Economic Affairs, Ministry of Finance  
5<sup>th</sup> Floor, 'B' Wing  
Janpath Bhawan, Janpath  
New Delhi, India 110 001

Dear Sir,

**Re: IDA Financing \_\_\_\_ - \_\_\_\_  
(Uttarakhand Decentralized Watershed Development II Project)  
Additional Instructions: Disbursement**

I refer to the Financing Agreement between India (the "Recipient") and the International Development Association (the "Association") for the above-referenced project, dated \_\_\_\_\_. The Agreement provides that the Association may issue additional instructions regarding the withdrawal of the proceeds of financing \_\_\_\_ - \_\_\_\_ ("Financing"). This letter ("Disbursement Letter"), as revised from time to time, constitutes the additional instructions.

The attached *World Bank Disbursement Guidelines for Projects*, dated May 1, 2006, ("Disbursement Guidelines") (Attachment 1), are an integral part of the Disbursement Letter. The manner in which the provisions in the Disbursement Guidelines apply to the Financing is specified below. Sections and subsections in parentheses below refer to the relevant sections and subsections in the Disbursement Guidelines and, unless otherwise defined in this letter, the capitalized terms used have the meanings ascribed to them in the Disbursement Guidelines.

**I. Disbursement Arrangements**

*(i) Disbursement Methods (section 2).* The following Disbursement Method may be used under the Financing:

- Reimbursement

*(ii) Disbursement Deadline Date (subsection 3.7).* The Disbursement Deadline Date is 4 months after the Closing Date specified in the Financing Agreement. Any changes to this date will be notified by the Association.

**II. Withdrawal of Financing Proceeds**

*(i) Authorized Signatures (subsection 3.1).* An authorized signatory letter in the Form attached (Attachment 2) should be furnished to the Association at the address indicated below providing the name(s) and specimen signature(s) of the official(s) authorized to sign Applications:

The World Bank

*ms* *Shay* *EUB*

P.O. Box 416  
New Delhi 110 001, India

Attention: Mr. Onno Ruhl  
Country Director, India

**(ii) Applications (subsections 3.2 - 3.3).** Please provide completed and signed applications for withdrawal, together with supporting documents to the address indicated below:

The World Bank  
No. 11, Taramani Main Road  
Taramani, Chennai – 600 113  
India  
Attention: Ms. Samvita R. Aritkatla, Finance Officer

**(iii) Electronic Delivery (subsection 3.4)** The Association may permit the Recipient to electronically deliver to the Association Applications (with supporting documents) through the Association's Client Connection, web-based portal. The option to deliver Applications to the Association by electronic means may be effected if: (a) the Recipient has designated in writing, pursuant to the terms of subparagraph (i) of this Section, its officials who are authorized to sign and deliver Applications and to receive secure identification credentials ("SIDC") from the Association for the purpose of delivering such Applications by electronic means; and (b) all such officials designated by the Recipient have registered as users of Client Connection. If the Association agrees, the Association will provide the Recipient with SIDC for the designated officials. Following which, the designated officials may deliver Applications electronically by completing Form 2380, which is accessible through Client Connection (<https://clientconnection.worldbank.org>). The Recipient may continue to exercise the option of preparing and delivering Applications in paper form. The Association reserves the right and may, in its sole discretion, temporarily or permanently disallow the electronic delivery of Applications by the Recipient.

**(iv) Terms and Conditions of Use of SIDC to Process Applications.** By designating officials to use SIDC and by choosing to deliver the Applications electronically, the Recipient confirms through the authorized signatory letter its agreement to: (a) abide by the *Terms and Conditions of Use of Secure Identification Credentials in connection with Use of Electronic Means to Process Applications and Supporting Documentation* ("Terms and Conditions of Use of Secure Identification Credentials") provided in Attachment [3]; and (b) to cause such official to abide by those terms and conditions.

**(v) Minimum Value of Applications (subsection 3.4).** Not Applicable

**(vi) Advances (Section 5 and 6)** Not Applicable

### III. Reporting on Use of Financing Proceeds

**(i) Supporting Documentation (section 4).** Supporting documentation should be provided with each application for withdrawal as set out below:

*m Shay* EWB

- **For Reimbursement**

- Interim Financial Report in the form attached (Attachment 4) to be submitted on quarterly basis
- List of Payments against the Contracts Subject to the Bank's Prior Review (Attachment 5)

(ii) *Frequency of Reporting Eligible Expenditures Paid from the Designated Account (subsection 6.3):*  
Not Applicable

#### IV. Other Important Information

For additional information on disbursement arrangements, please refer to the Disbursement Handbook available on the Association's public website at <https://www.worldbank.org> and its secure website "Client Connection" at <https://clientconnection.worldbank.org>. Print copies are available upon request.

If you have not already done so, the Association recommends that you register as a user of the Client Connection website (<https://clientconnection.worldbank.org>). From this website you will be able to prepare and deliver Applications, monitor the near real-time status of the Financing, and retrieve related policy, financial, and procurement information. All Recipient officials authorized to sign and deliver Applications by electronic means are required to register with Client Connection before electronic delivery can be effected. For more information about the website and registration arrangements, please contact the Association by email at <[clientconnection@worldbank.org](mailto:clientconnection@worldbank.org)>.

If you have any queries in relation to the above, please contact Mr. Junxue Chu Senior Finance Officer at service account email address [CTRLN.Chennai@worldbank.org](mailto:CTRLN.Chennai@worldbank.org) using the above reference.

Yours sincerely,

**Onno Ruhl**  
**Country Director**  
**India**

#### Attachments

1. *World Bank Disbursement Guidelines for Projects*, dated May 1, 2006
2. Form for Authorized Signatures
3. *Terms and Conditions of Use of Secure Identification Credentials in connection with Use of Electronic Means to Process Applications and Supporting Documentation* ("Terms and Conditions of Use of Secure Identification Credentials"), dated March 1, 2013
4. Form of "Interim Financial Report"
5. List of Payments against the Contracts Subject to the Bank's Prior Review

Cc with copies:

  
EWB

**Form of Authorized Signatory Letter**  
Controller of Aid Accounts and Audit  
Department of Economic Affairs, Ministry of Finance  
New Delhi, India 110 001

[DATE]

The World Bank  
P.O. Box 416  
New Delhi 110 001, India

Attention: Mr. Onno Ruhl Country Director

Re: Financing No. \_\_\_\_ - \_\_\_\_ ()

I refer to the Financing Agreement ("Agreement") between the International Development Association (the "Association") and [name of Recipient] (the "Recipient"), dated \_\_\_\_\_, providing the above Financing. For the purposes of Section 2.03 of the General Conditions as defined in the Agreement, any <sup>1</sup>[one] of the persons whose authenticated specimen signatures appear below is authorized on behalf of the Recipient to sign applications for withdrawal [and applications for a special commitment] under this Financing.

For the purpose of delivering Applications to the Association, <sup>2</sup>[each] of the persons whose authenticated specimen signatures appears below is authorized on behalf of the Recipient, acting <sup>3</sup>[individually] <sup>4</sup>[jointly], to deliver Applications, and evidence in support thereof on the terms and conditions specified by the Association.

<sup>5</sup>[This confirms that the Recipient is authorizing such persons to accept Secure Identification Credentials (SIDC) and to deliver the Applications and supporting documents to the Association by electronic means. In full recognition that the Association shall rely upon such representations and warranties, including without limitation, the representations and warranties contained in the *Terms and Conditions of Use of Secure Identification Credentials in*

<sup>1</sup> Instruction to the Recipient: Stipulate if more than one person needs to sign Applications, and how many or which positions, and if any thresholds apply. *Please delete this footnote in final letter that is sent to the Association.*

<sup>2</sup> Instruction to the Recipient: Stipulate if more than one person needs to *jointly* sign Applications, if so, please indicate the actual number. *Please delete this footnote in final letter that is sent to the Association.*

<sup>3</sup> Instruction to the Recipient: Use this bracket if any one of the authorized persons may sign; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Association.*

<sup>4</sup> Instruction to the Recipient: Use this bracket only if several individuals must jointly sign each Application; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Association.*

<sup>5</sup> Instruction to the Recipient: Add this paragraph if the Recipient wishes to authorize the listed persons to accept Secure Identification Credentials and to deliver Applications by electronic means; if this is not applicable, please delete the paragraph. *Please delete this footnote in final letter that is sent to the Association.*

 EWB

*connection with Use of Electronic Means to Process Applications and Supporting Documentation*  
("Terms and Conditions of Use of SIDC"), the Recipient represents and warrants to the  
Association that it will cause such persons to abide by those terms and conditions.]

This Authorization replaces and supersedes any Authorization currently in the  
Association records with respect to this Agreement.

[Name], [position]      Specimen Signature: \_\_\_\_\_

[Name], [position]      Specimen Signature: \_\_\_\_\_

[Name], [position]      Specimen Signature: \_\_\_\_\_

Yours truly,

/ signed /

\_\_\_\_\_  
[Position]

*ms* Shay EWB

**Terms and Conditions of Use of Secure Identification Credentials  
in connection with Use of Electronic Means  
to Process Applications  
and Supporting Documentation**

March 1, 2013

The World Bank (Bank)<sup>6</sup> will provide secure identification credentials (SIDC) to permit the Borrower<sup>7</sup> to deliver applications for withdrawal and applications for special commitments under the Agreement(s) and supporting documentation (such applications and supporting documentation together referred to in these Terms and Conditions of Use as Applications) to the Bank electronically, on the terms and conditions of use specified herein.

SIDC can be either: (a) hardware-based (Physical Token), or (b) software-based (Soft Token). The Bank reserves the right to determine which type of SIDC is most appropriate.

**A. Identification of Users.**

1. The Borrower will be required to identify in a completed Authorized Signatory Letter (ASL) duly delivered to and received by the Bank each person who will be authorized to deliver Applications. The Bank will provide SIDC to each person identified in the ASL (Signatory), as provided below. The Borrower shall also immediately notify the Bank if a Signatory is no longer authorized by the Borrower to act as a Signatory.
2. Each Signatory must register as a user on the Bank's Client Connection (CC) website (<https://clientconnection.worldbank.org>) prior to receipt of his/her SIDC. Registration on CC will require that the Signatory establish a CC password (CC Password). The Signatory shall not reveal his/her CC Password to anyone or store or record the CC Password in written or other form. Upon registration as a CC user, the Signatory will be assigned a unique identifying account name.

**B. Initialization of SIDC.**

1. Prior to initialization of SIDC by a Signatory, the Signatory will acknowledge having read, understood and agreed to be bound by these Terms and Conditions of Use.

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<sup>6</sup> "Bank" includes IBRD and IDA.

<sup>7</sup> "Borrower" includes the borrower of an IBRD loan, IDA credit, or Project Preparation Facility advance and the recipient of a grant.

2. Where a Physical Token is to be used, promptly upon receipt of the Physical Token, the Signatory will access CC using his/her account name and CC Password and register his/her Physical Token and set a personal identification number (PIN) to be used in connection with the use of his/her Physical Token, after which the Physical Token will be initialized for use by the Signatory exclusively for purposes of delivering Applications. Where a Soft Token is to be used, the Signatory will access CC using his/her account name and CC Password and set a personal identification number (PIN) to be used in connection with the use of his/her Soft Token, after which the Soft Token will be initialized for use by the Signatory exclusively for purposes of delivering Applications. Upon initialization of the SIDC, the Signatory will be a "SIDC User". The Bank will maintain in its database a user account (Account) for each SIDC User for purposes of managing the SIDC of the SIDC User. Neither the Borrower nor the SIDC User will have any access to the Account.
3. Prior to first use of the SIDC by the SIDC User, the Borrower shall ensure that the SIDC User has received training materials provided by the Bank in use of the SIDC.

**C. Use of SIDC.**

1. Use of the SIDC is strictly limited to use in the delivery of Applications by the SIDC User in the manner prescribed by the Bank in the Agreement(s) and these Terms and Conditions. Any other use of the SIDC is prohibited.
2. The Bank assumes no responsibility or liability whatsoever for any misuse of the SIDC by the SIDC User, other representatives of the Borrower, or third parties.
3. The Borrower undertakes to ensure, and represents and warrants to the Bank (such representation and warranty being expressly relied upon by the Bank in granting SIDC) that each SIDC User understands and will abide by, these Terms and Conditions of Use, including without limitation the following:
4. ***Security***
  - 4.1. The SIDC User shall not reveal his/her PIN to anyone or store or record the PIN in written or other form.
  - 4.2. The SIDC User shall not allow anyone else to utilize his/her SIDC to deliver an Application to the Bank.
  - 4.3. The SIDC User shall always logout from CC when not using the system. Failure to logout properly can create a route into the system that is unprotected.
  - 4.4. If the SIDC User believes a third party has learned his/her PIN or has lost his/her Physical Token he/she shall immediately notify [clientconnection@worldbank.org](mailto:clientconnection@worldbank.org).



4.5. The Borrower shall immediately notify the Bank at [clientconnection@worldbank.org](mailto:clientconnection@worldbank.org) of any lost, stolen or compromised SIDC, and take other reasonable steps to ensure such SIDC are disabled immediately.

5. ***Reservation of Right to Disable SIDC***

5.1. The Borrower shall reserve the right to revoke the authorization of a SIDC User to use a SIDC for any reason.

5.2. The Bank reserves the right, in its sole discretion, to temporarily or permanently disable a SIDC, de-activate a SIDC User's Account or both.

6. ***Care of Physical Tokens***

6.1. Physical Tokens will remain the property of the Bank.

6.2. The Bank will physically deliver a Physical Token to each Signatory designated to receive one in a manner to be determined by and satisfactory to the Bank.

6.3. Physical Tokens contain delicate and sophisticated instrumentation and therefore should be handled with due care, and should not be immersed in liquids, exposed to extreme temperatures, crushed or bent. Also, Physical Tokens should be kept more than five (5) cm from devices that generate electromagnetic radiation (EMR), such as mobile phones, phone-enabled PDAs, smart phones and other similar devices. Physical Tokens should be carried and stored separate from any EMR device. At close range (less than 5 cm), these devices can output high levels of EMR that can interfere with the proper operation of electronic equipment, including the Physical Token.

6.4. Without derogating from these Terms and Conditions of Use, other technical instructions on the proper use and care of Physical Tokens are available at <http://www.rsa.com>.

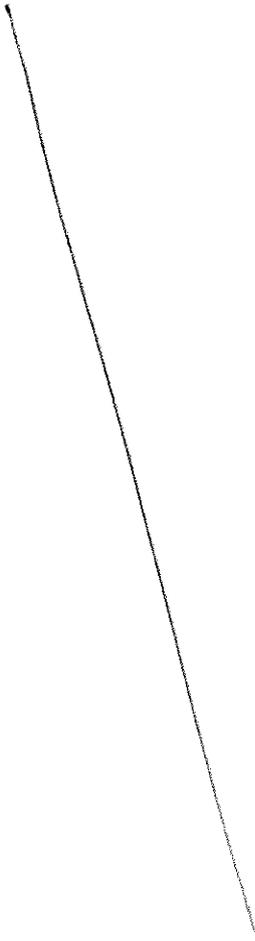
7. ***Replacement***

7.1. Lost, damaged, compromised (in terms of 4.5, above) or destroyed Physical Tokens will be replaced at the expense of the Borrower.

7.2. The Bank reserves the right, in its sole discretion, not to replace any Physical Token in the case of misuse, or not to reactivate a SIDC User's Account.

*Shay EWB*

**Form of Interim Financial Report  
(Separately attached)**



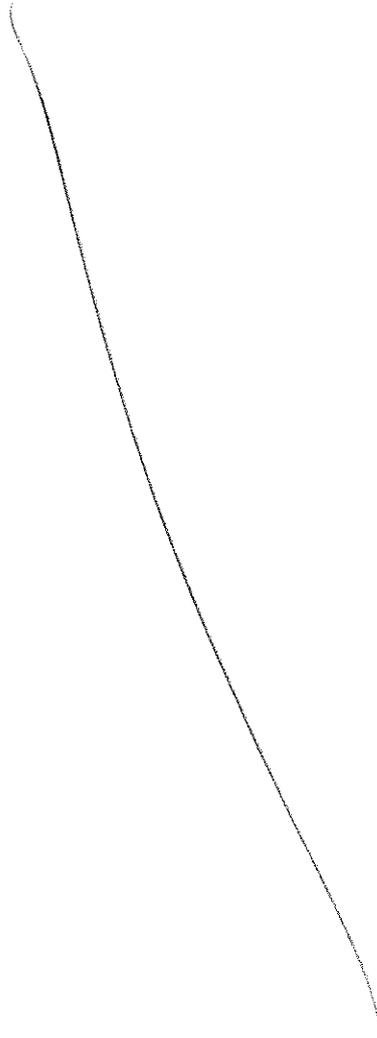
**Attachment 5**

**Payments Made during Reporting Period  
Against Contracts Subject to the Bank's Prior Review**

Contract Number	Supplier	Contract Date	Contract Amount	Date of WB's Non Objection to Contract	Amount Paid to Supplier during Period	WB's Share of Amt Paid to Supplier during Period

*W* *Shay*  
*EWB*

**Attachment 4**  
**Model IUFR Format**



**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II**

**(IDA Credit No. 5369-IN)**

**Application No.**

**Interim Unaudited Financial Report for the quarter ended \_\_\_\_\_ FY 20\_\_\_\_\_**

**IUFR-1**

**Rs. in Lakhs (Hundred Thousand)**

Particulars	Expenditure	Non Eligibal for claim	Eligible Expenditure for claim	Reimburs ement %	Reimbursable amount	To be reimbursed from IDA	State share of Project
	Current Qtr.	Current Qtr.					
	1	2	(3) = (1) - (2)	4	5=3x4/100	6	7
<b>Expenditure by Component:</b>							
<i>Social Mobilization and Participatory Watershed Planning</i>							
<i>Watershed Treatment and Rain-fed Agriculture Development</i>							
<i>Enhancing livelihood opportunity</i>							
<i>Knowledge Management and Project Coordination</i>							
<i>Government staff cost</i>							
<b>TOTAL PROJECT EXPENDITURE</b>							
<b>FINANCED BY:</b>							
GOUK							
IDA-World Bank							
Community							
<b>TOTAL FINANCING</b>							

**Financial Controller:**

**Date:**

**Notes:**

1. This statement is prepared on a cash basis of accounting as per provisions of the Financial Manual for the project.
2. The above figures will be based on monthly consolidation done by HO of the records maintained with the DPDs.

**Project Director**

**Date:**

**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II**  
(IDA Credit No. 5369-IN)

**Application No.**

**Interim Unaudited Financial Report for the quarter ended \_\_\_\_\_ FY 20\_\_\_\_**

**IUFR -2**

**Rs. in Lakhs (Hundred Thousand)**

Particulars	Expenditure	Non claimable	Eligible Expenditure	Reimbursement %	Reimbursable amount	To be reimbursed from IDA	State share of Project
	Cummulative	Cummulative					
	1	2	(3) = (1) - (2)	4	5=3x4/ 100	6	7
<b>Expenditure by Component:</b>					0		
<i>Social Mobilization and Participatory Watershed Planning</i>							
<i>Watershed Treatment and Rain-fed Agriculture Development</i>							
<i>Enhancing livelihood opportunity</i>							
<i>Knowledge Management and Project Coordination</i>							
<i>Government staff cost</i>							
<b>TOTAL PROJECT EXPENDITURE</b>							
<b>FINANCED BY:</b>							
GoUK							
IDA-World Bank							
Community contribution							
<b>TOTAL FINANCING</b>							

**Financial Controller:**

**Date:**

**Project Director**

**Date:**

**Note:**

1. This statement is prepared on a cash basis of accounting as per provisions of the Financial Manual for the project.
2. The above figures will be based on monthly consolidation done by HO of the records maintained with the DWDOs.

**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II**

**(IDA Credit No. 5369-IN)**

Interim Unaudited Financial Report for the quarter ended \_\_\_\_\_ FY 20\_\_\_\_

**IUFR-3**

**Rs. in Lakhs (Hundred Thousand)**

	Social mobilization and Participatory watershed planning		Watershed Treatment and Rainfed Area Development		Enhancing livelihood Opportunities		Knowledge Management and Project Coordination		Government Staff cost		Sub Total		Other Advances		Grand Total	
	For the	CTD *	For the	CTD	For the	CTD	For the	CTD	For the	CTD	For the	CTD	For the	CTD	For the	CTD
	Quarter		Quarter		Quarter		Quarter		Quarter		Quarter		Quarter		Quarter	
PD Kumaon																
Almora																
Pithoragarh																
Bageshwar																
PD Garhwal																
Dehradun																
Pauri																
Tehri																
PMU																
Rudraprayag																
Uttarkashi																
CPD (Directorate)																
<b>TOTAL</b>																

**Financial Controller**  
Date :

**Project Director**  
Date :

**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II**  
(IDA Credit No. 5369-IN)

**Interim Unaudited Financial Report for the quarter ended..... FY 20.....**

**IUFR-4**

**Rs. in Lakhs (Hundred Thousand)**

Particulars	Almora	Pithorogarh	Bageshwar	Dehradun	Pauri	Tehri	Rudraprayag	Uttarkashi	Model WS*	PD (G)*	PD (K)*	CPD* (Directorate)	Total
	1	2	3	4	5	6	7	8	9	10	11	12	13
	Budget received from Government												
Transferred to DDOs													
<b>Total Receipts (A)</b>													
Component Wise Expenditure													
<i>Social Mobilization and Participatory Watershed Planning</i>													
<i>Watershed Treatment and Rain-fed Agriculture Development</i>													
<i>Enhancing livelihood opportunity</i>													
<i>Knowledge Management and Project Coordination</i>													
<i>Government staff cost</i>													
<b>Total Expenditure(B)</b>													

**Financial Controller**

Date:

**Project Director**

Date:

\* - Model WS: Model Watershed

PD (G): Project Director (Garhwal)

PD (K): Project Director (Kumaun)

CPD: Chief Project Director

**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II**

**(IDA Credit No. 5369-IN)**

**Interim Unaudited Financial Report for the quarter ended \_\_\_\_\_ FY 20\_\_**

**IUFR-5**

**Rs. in Lakhs (Hundred Thousand)**

Date	Period	IUFR/Application No.	Expenditure Reported	Claims Requested	Claims Reimbursed	RF/WF No.

**Financial Controller**

Date:

**Project Director**

Date:

**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II**

**(IDA Credit No. 5369-IN)**

**Interim Unaudited Financial Report for the quarter ended \_\_\_\_\_ FY 20\_\_**

**Payments made during Reporting Period Against Contracts**

**IUFR 6**

**Rs. in Lakhs (Hundred Thousand)**

<b>DDO name</b>	<b>Contract Number/ Work order no.</b>	<b>Supplier</b>	<b>Contract Date</b>	<b>Original Contract Amount</b>	<b>Revised contract amount</b>	<b>Payment in this quarter</b>	<b>Total amount paid till date</b>	<b>Payment vs. contract percentage</b>

**Financial Controller**  
**Date:**

**Project Director**  
**Date:**

**UTTARAKHAND DECENTRALIZED WATERSHED DEVELOPMENT PROJECT PHASE-II  
(IDA Credit No. 5369-IN)**

Interim Unaudited Financial Report for the quarter ended \_\_\_\_\_ FY 20\_\_

**GP wise balances**

IUFR -7

Rs. in Thousand

<i>Location</i>			<i>GP Advance released</i>	<i>Amount spent</i>			<i>Closing Balance</i>
<i>Division Name</i>	<i>Unit Name</i>	<i>GP Name</i>		<i>Water shed Treatment</i>	<i>VGf</i>	<i>Admin exp</i>	

**Financial Controller**  
Date:

**Project Director**  
Date: